

PETER OAKES

Executive & Non-Executive Director
Regulatory & Central Banking Expert

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Background

Highly experienced Executive and Non-Executive Director of regulated financial services companies (Ireland and UK). Senior Executive and Management roles at Central Banks and Regulators (Ireland, UK & Australia). Led the start-up and scaling of commercial banking and central banking enterprises. Mentor and adviser to fintech, regtech & financial services boards and executives.

25 years' experience, including at C-Suite level, in fintech, regtech, central banking, financial regulation, strategic governance, mutual funds (administrators, management companies, custodians/trustees), MiFID, payment services, e-commerce, banking, insurance, re-insurance, financial services, capital markets, digital currencies, credit unions, consumer protection, mediation and service to government/regulatory panels and tribunals. Particular focus on governance, risk management, compliance and law. Practised law in financial services, administrative, commercial, dispute resolution, property and civil & commercial litigation. Established, developed, scaled and sold consultancy and training businesses.

Approached by the Deputy Governor, Central Bank of Ireland, to take-up the role of Assistant Director General (now 'Director') of Enforcement as part of the new leadership team (2010-2013) responsible for: the transformational change of the Central Bank of Ireland following the unprecedented Irish economic crisis and institutional failure; and setting the strategic direction of Ireland's financial regulation and central banking initiatives in response to the Trioka (i.e. ECB, EC & IMF) bailout of Ireland.

Post graduate qualifications in Company Directorship and Corporate Governance.

Professional Bodies / Memberships

Admitted to the Rolls (and equivalent) of the Supreme/High Courts in Australia (NSW), England & Wales and Republic of Ireland. Member of the Institute of Directors in Ireland.

Conference Presentations / Speaker

Delivered numerous presentations and speeches on regulatory affairs and fintech to Boards and Senior Executives of financial institutions and central banks at events in Europe, Middle-East & Australia to more than 7,000+ attendees in total.

Current & Previous Experience

Present – Directorships

- J 2017-present: Independent Non-Executive Director, Susquehanna Global Trading Limited
 - o affiliate of Susquehanna Securities International Limited
- J 2016-present: Non-Executive Director, TransferMate Global Payments (Interpay Limited) (www.transfermate.com)
 - o payments & fintech. Net assets €2.65 million (31/12/2015). Authorised by Central Bank of Ireland under the MiFID
- J 2016-present: Non-Executive Director/President, Irish Australian Chamber of Commerce (www.australianchamber.ie)
 - o the premier Irish Australian business organisation (incorporated in Ireland)
- J 2015-present: Independent Non-Executive Director, Susquehanna Securities International Limited (www.sig.com)
 - o trading in marketable securities on own account and market maker. Net assets of USD\$487.5 million (31/12/2014). Authorised by Central Bank of Ireland under the MiFID

Present – Advisory Boards / Committees

- J 2016-present: Advisory Board (Strategy, Risk & Compliance) – AKCE Group companies: Clearsettle (www.clearsettle.com), Ozan (www.ozan.com) and Facekart (www.facekart.com)
 - o AKCE Group is the holding company for a number of regulated payments and emoney institutions. The group's interest extends from banking, to payments, e-wallets, e-vouchers and software development.

www.peteroakes.com | www.fintechireland.com | www.fintechuk.com

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- J 2016-present: Advisory Committee, Deposify (www.deposify.com)
 - o fintech. Online escrow service. Regulated by the Department of Justice (Ireland)
- J 2017-present: Advisory Committee, Kyckr (www.kyckr.com)
 - o regtech, KYC solution. Listed on Australian Stock Exchange
- J 2017-present: Advisory Board, Corlytics (www.corlytics.com)
 - o regtech, regulatory intelligence solution
- J 2017-present: Advisory Board, Ignition Wealth (www.ignitionwealth.com)
 - o robo advisor / digital advisor. Wealth / Investment Management. Regulated by the Australian Securities and Investments Commission
- J 2016-present: Strategic Consultant – Galligan Johnston, Solicitors (www.gjs.ie)
 - o corporate, commercial and financial services law firm
- J 2014-present: Founder, Fintech Ireland & Fintech UK
 - o promotion, support & facilitation of fintech businesses and investment in Ireland and UK
- J 2003-present: Principal, Peter Oakes
 - o Consulting and training services to Boards and C-Suite on strategic and regulatory issues
 - o Assignments have included: Adviser & trainer to a systemically important bank (Ireland); and Adviser to Governor and Deputy Governor Saudi Arabian Monetary Agency

Previous

- J 2014-2016: Executive Director & Chief Risk Officer, Bank of America Merrill Lynch Merchant Services (Europe) Limited - Authorised by the Financial Conduct Authority under the PSD
 - o European operations of the No. 1-rated U.S. electronic payments processor and provider of secure and innovative products and services
 - o Established BAMS European operations, carriage of successful application for PSD licence and passport into all EU Member States
- J 2014-2014: Independent Non-Executive Director designate, AirBnB Payments International Ireland
- J 2010-2013: Director, Enforcement & Financial Crime Supervision, Central Bank of Ireland
 - o Appointed in 2010 as the first Director of Enforcement & Financial Crime Supervision and a Member of the Senior Management Committee responsible for the transformational change of the Central Bank
 - o Responsible for: €20MN budget; scaling enforcement team from 10 to 75 investigators; and appointment of external experts/risk advisers. Responsible for all investigations initiated by the Central Bank, including personal carriage of investigations of the Boards and Senior Executives of Irish Banks
- J 2010-2010: Independent Non-Executive Director, Atradius Reinsurance (Ireland)
- J 2008-2010: Independent Non-Executive Director, Oasis Global Management Company (Ireland)
- J 2007-2010: Panel of Assessors - Appointed by the Irish Financial Services Regulatory Authority (IFSRA) pursuant to the Market Abuse, Transparency and Prospectus Regulations to a Panel of Assessors
- J 2004-2010: Managing Director and Founder, Compliance Ireland, City Compliance and IFSC Capital & Investment Services
 - o Established and led for 7 years one of Ireland's first specialist corporate governance and compliance consulting firms consulting and training businesses in Ireland, the United Kingdom, Australia, South Africa and the Middle-East (2003-2010)
- J 1991-2004
 - o Senior Executive, Compliance, Risk & Legal roles at: BISYS (Ireland); Barings Asset Management (United Kingdom) and Delaware Investment Advisors (United Kingdom & USA)
 - o Enforcement Lawyer and Investigator with the Financial Services Authority (United Kingdom)
 - o Registrar & Mediator to the Australian Companies Auditors and Liquidators Disciplinary Board. Senior Officer with the Australian Securities Commission (now ASIC)